

Centrepont Alliance Limited

(ABN 72 052 507 507) (the “Company”)

STATEMENT OF VALUES

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Version	Effective Date	Charter Owner
1.0	23 June 2021	Company Secretary

1.0 OVERVIEW

Centrepont Alliance Limited ACN 052 507 507 (**Company**), and its subsidiaries from time to time (**Group**) is committed acting lawfully, ethically and responsibly.

The board of directors (**Board**) of the Company has established this Statement of Values for the purpose of ensuring that the Group's values create a link between the Company's purpose and its strategic goals by expressing the standards and behaviours that it expects from its directors, senior executives and employees to fulfil its purpose and meet its goals.

2.0 STATEMENT OF VALUES

At Centrepont Alliance we are committed to assisting our authorized representatives and associated licensees provide the best financial advice and financial services to the Australian community. We believe that by receiving trusted, expert and reliable financial advice Australians will feel more secure about their financial wellbeing and their future. This is good for them and it is good for our society.

Underpinning these commitments and beliefs, Centrepont Alliance and its employees embrace the following values.

COMMUNITY – We are stronger together

At Centrepont, we believe that our staff work best when they work as a team. Clear communication, honest sharing and constructive feedback are vital elements for any high performance team.

“Community” extends beyond our staff to our community of advisers, whether they are authorized representatives or associated licensees. Our community of advisers are stronger when they share ideas, experiences and learning. We are committed to our community of advisers.

PASSION – We believe in what we do

Our staff believe that when they help advisers provide the best possible financial advice and financial services to Australian consumers they promote not only the interests of those Australians receiving it, but they also benefit our society and the Australian economy. We believe Australians need financial advice and we are committed to assisting financial advisers provide the best advice they can to the most Australians possible.

ACCOUNTABILITY – We do what's right

As individuals and as a company, we believe in taking initiative, acting responsibly and owning our actions. We will always be honest, we will always be ethical and we will always be proud of our actions and our intentions. We are committed to act with integrity in everything we do.

LEADERSHIP – We lead by example

All of our staff are leaders. We listen with empathy, we serve with passion and we take responsibility for outcomes. We look for opportunity and we are watchful of risk. We challenge the status quo and we stretch our capability. At Centrepont, we “step up to the plate”. We seek out innovation yet we maintain traditional values and decency. We are committed to setting a course always for the benefit of our stakeholders, our shareholders, advisers and our clients.

3.0 INSTILLING VALUES

The senior executives within the Group will be responsible for instilling the values across the Group as an organisation.

All employees will receive training on the values and senior managers will be expected to continually reference and reinforce the values.

4.0 REVIEW OF THIS STATEMENT

The Board will review this Statement at least annually to ensure that it accords with best practice and remains consistent with the Group's goals and purpose.

This Statement may be amended from time to time by resolution of the Board.