

MODERN SLAVERY STATEMENT

Centrepont Alliance Limited
(ABN 72 052 507 507)

12 November 2025

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1. INTRODUCTION

This Modern Slavery Statement (**Statement**) is a statement by Centrepoint Alliance Limited ABN 72 052 507 507 (**Centrepoint Alliance, we, our, or the Company**) and its controlled entities, and has been prepared in accordance with the *Modern Slavery Act 2018* (Cth) (the **Act**).

Centrepoint Alliance is committed to ensuring we have effective policies and procedures in place to minimise the risk of modern slavery occurring within our business operations and supply chains. Centrepoint Alliance is committed to governance processes, risk management, corporate culture, safety and compliance. These underpin our commitment to a strong ethical and responsible approach to the conduct of our business operations.

This Statement sets out the actions that Centrepoint Alliance has taken to understand the potential modern slavery risks related to its business, and the steps it has implemented in the financial year ended 30 June 2025 (**Reporting Period**) to identify and prevent modern slavery in its supply chains.

2. CENTREPOINT ALLIANCE'S BUSINESS

The Centrepoint Alliance Group

Centrepoint Alliance and its controlled entities (the **Centrepoint Alliance Group**) operate in the financial services industry in Australia providing a range of financial advice and licensee support services (including licensing, systems, compliance, training and technical advice) and investment solutions to financial advisers, accountants and their clients across Australia, as well as mortgage aggregation services to mortgage brokers.

The Centrepoint Alliance Group holds four Australian financial services licences and one Australian credit licence. One of the Australian financial services licensees is the Responsible Entity for an IDPS-like scheme and a registered managed investment scheme, is the Operator of an IDPS and is the Promoter of a superannuation fund.

As at 30 June 2025, the Centrepoint Alliance Group provided technical, compliance and business management support services to approximately 571 financial advisers under its own licences and provided services to over 199 self-licensed financial practices covering 900 financial advisers. Centrepoint Alliance also employs 22 financial advisers who are appointed under its licences. The Centrepoint Alliance Group consists of a number of entities in the areas of Licensee & Advice Services, Funds Management & Administration, Corporate Services and Technology.

The below table includes all active entities that are 100% owned by Centrepoint Alliance.

| Name | Principal Activity |
|--|----------------------------|
| Licensee or Advice Service | |
| Centrepoint Alliance Lending Pty Ltd | Mortgage broker/aggregator |
| Alliance Wealth Pty Ltd | Financial advice |
| Professional Investment Services Pty Ltd | Financial advice |
| Matrix Planning Solutions Limited | Financial advice |

| Name | Principal Activity |
|--|---|
| Associated Advisory Practices Pty Ltd | Support services to AFS licensees |
| LaVista Licensee Solutions Pty Ltd | Support services to AFS licensees |
| Financial Advice Matters Group Pty Ltd | Salaried advice |
| xseedwealth pty ltd | Salaried advice |
| Funds Management and Administration | |
| Ventura Investment Management Ltd | Packages managed funds and Responsible Entity |
| Corporate and Technology | |
| Centrepont Alliance Services Pty Ltd | Trustee-employee share plan |
| Centrepont Services Pty Ltd | Service company |
| Centrepont Wealth Pty Ltd | Holding company |
| Enzumo Corporation Pty Ltd | Technology service company |
| Enzumo Consulting Pty Ltd | Technology consulting services |

During the Reporting Period, the Centrepont Alliance Group engaged with approximately 428 suppliers. Centrepont Alliance aims to establish a relationship of trust and integrity with all its suppliers, which is built upon mutually beneficial factors.

Centrepont Alliance's Modern Slavery Governance Framework

Our objective is to ensure our authorised representatives and licensees provide the best financial advice and financial services to the Australian community. Our values and expectations are reinforced across the Company through various communication channels, policies and training.

The Centrepont Alliance Board of Directors is accountable for the Centrepont Alliance Group's audit risk and compliance framework. The Company's internal Modern Slavery Working Group reports to the Board through the Compliance Committee and the Group Audit, Risk and Compliance Committee.

The below graphic represents the geographical regions of our operations.



3. RISKS OF MODERN SLAVERY IN CENTREPOINT ALLIANCE'S OPERATIONS AND SUPPLY CHAINS

Centrepoint Alliance operates in the regulated Australian Financial Services environment, and within a regulated labour market with award safeguards for employees in most industries. As such, the risk of modern slavery in our operations on-shore have been assessed as low.

Operations

Centrepoint Alliance recognises that as a responsible company we must regularly assess our processes and identify any potential risk areas. Centrepoint considers it has a low risk of exposure to modern slavery practices because:

- Centrepoint Alliance does not have any offshore office arrangements;
- Centrepoint Alliance has implemented employment standards which meet or exceed the *Australian Fair Work Act 2009*; and
- all employees, advisers and suppliers are encouraged to report any concerns directly to Centrepoint Alliance via Centrepoint Alliance's Whistleblower Policy.

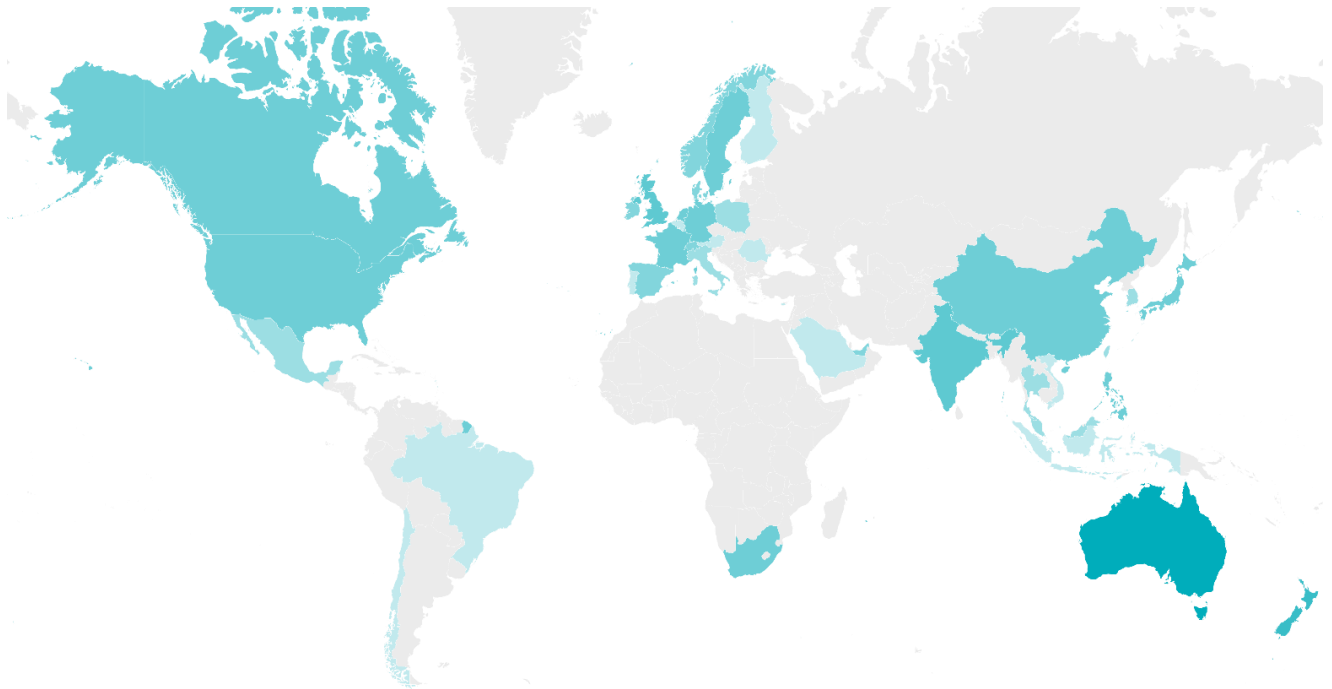
Supply Chain

Our suppliers operate, produce and source across several different sectors. Certain sectors are recognised as high-risk for modern slavery, including human trafficking and child labour. High-risk sectors are identified based on authoritative sources such as the *Global Estimates of Modern Slavery 2022* (ILO, Walk Free, IOM) and the *Child Labour: Global Estimates 2020 Trends and the Road Forward* (ILO and UNICEF, 2021). These publications remain the primary references for identifying sectors where modern slavery risks are most prevalent. In line with current Australian and international guidance, we continue to monitor these and other emerging sources to ensure our risk assessments reflect the latest evidence and sector-specific developments.

Our suppliers have been identified as operating in the following sectors:

- accommodation and food service activities
- administration and support services
- building services contractor
- cleaning
- construction
- education
- electricity, gas, steam and air conditioning supply
- finance and insurance
- information and communication
- international organisations, diplomatic and consular services
- personal services
- professional and scientific services
- real estate
- retail
- transportation and storage
- wholesale.

Our suppliers operate in many locations across the world, as set out in the following graphic.



The below graphics show the high-risk sectors of operation, production, and sourcing for our suppliers. The numbers in the following graphics describe how many suppliers are in the corresponding sectors.

Our suppliers operate in the following high-risk sectors



Accommodation and Food Service Activities

1



Retail

1

Our suppliers source goods and services from the following high-risk sectors



Accommodation and Food Service Activities

6



Building services contractor

1



Cleaning

3



Construction

1



Personal services

1



Retail

2



Transportation and Storage

2

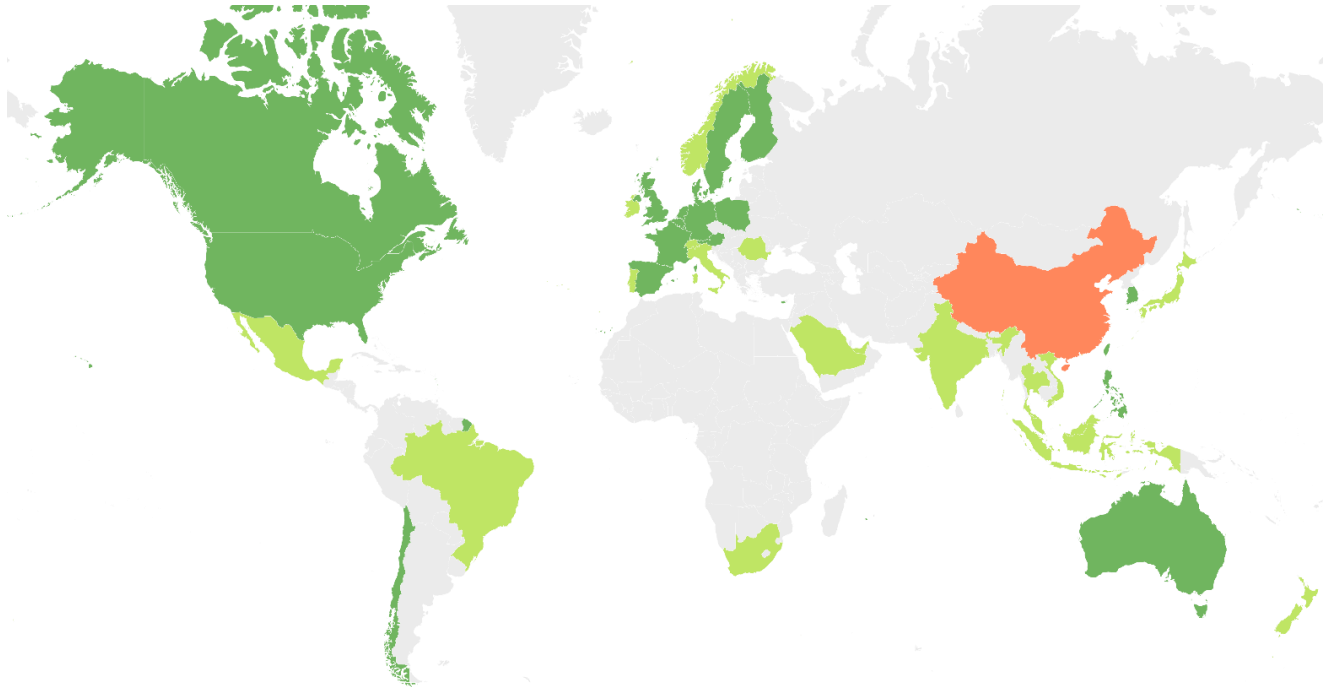


Wholesale

1

Some countries or regions where our suppliers operate can be described as high-risk due to the possibility of a link to human trafficking.

The geographical regions where our supply chain partners operate are shown in the map below.



4. ACTIONS TAKEN BY CENTREPOINT ALLIANCE TO ASSESS AND ADDRESS RISKS

Assess

Centrepont Alliance uses a third-party tool, the iPRO Modern Slavery Assessment Tool to assess modern slavery risks in our operations and supply chain. The risks identified in the previous section were all identified utilising this assessment tool. We engaged iPRO during the reporting period to conduct the assessments on our operations, and a bulk assessment of those suppliers to Centrepont Alliance where the spend is more than \$200,000 p.a. We intend to carry this process forward as an annual assessment of our operations and supply chain.

Participating suppliers were asked to complete a self-assessment questionnaire online. After each supplier completed and submitted the questionnaire, their responses were assessed to determine their modern slavery risk scores and associated risk category.

Each supplier that completed the assessment was assigned one of the following risk categories:

- high-risk (high levels of inherent risk);
- high-risk (inadequate risk control);
- medium-risk (partial risk control);

low-risk (adequate risk control); or

low-risk (low levels of inherent risk).

To determine the appropriate risk category, responses were first evaluated for risks inherent to a supplier's operations, production and sourcing. This is referred to as the Inherent Risk Score. The Inherent Risk Score was calculated based on geographical location, type of goods, industry sector and workforce parameters that the supplier indicated in the Modern Slavery Assessment Tool.

Next, the Unmitigated Risk Score was evaluated. This score was calculated based on the policy and procedure responses on the questionnaire. A supplier's Unmitigated Risk Score determined whether they were categorised as having inadequate, partial or adequate risk control.

Then, the risk scores for each assessed supplier were aggregated and averaged, resulting in an Aggregated Inherent Risk Score and an Aggregated Unmitigated Risk Score for the overall supply chain.

Centrepoint Alliance also completed a self-assessment using the same process to determine a risk category for our operations.

Address

Centrepoint Alliance conducts due diligence processes prior to engaging a new service supplier of material contractual value. Due to the size of many of our top suppliers, many of them qualify as reporting entities under the Act.

In addition, Centrepoint Alliance undertakes periodic reviews to obtain various assurances from its service providers including their employment practices.

Centrepoint Alliance will not support suppliers or contractors where we become aware, or where we have reasonable grounds to suspect, that slavery or human trafficking is taking place in their business or their supply chains.

Remediate

If modern slavery is identified in our operations or supply chain, Centrepoint Alliance would take action, including engaging with the supplier, supporting remediation for affected individuals and reporting to relevant authorities as required.

5. CENTREPOINT ALLIANCE'S ASSESSMENT OF THE EFFECTIVENESS OF ACTIONS BEING TAKEN

We utilise the benchmarking and KPI's in the iPRO Modern Slavery Assessment Tool to assess the effectiveness of our actions.

The main KPI's we are using to benchmark our efforts are the risk scores that are generated as part of the assessment process.

Each organisation who completed the assessment questionnaire was assessed and given the following two risk scores:

- Inherent Risk Score; and
- Unmitigated Risk Score

The Inherent Risk Score measures modern slavery risks that are intrinsic/built-in to the operations of an organisation. This is determined by asking which countries, regions, sectors and high-risk goods are part of their operations, production or supply chain.

The Unmitigated Risk Score measures the organisations risk that remains despite the modern slavery risk controls that have been implemented. This is determined by asking suppliers about the policies, processes and procedures in place to reduce modern slavery risks.

| | | | |
|---|------------------------------------|-------------|-------------|
|  | Low-risk (No inherent risk) | FY25 | FY24 |
|  | | 3 suppliers | 2 suppliers |

| | | | |
|---|---|-------------|-------------|
|  | Low-risk (Adequate risk control) | FY25 | FY24 |
|  | | 5 suppliers | 3 suppliers |

| | | | |
|--|---|-------------|-------------|
|  | Medium-risk (Partial risk control) | FY25 | FY24 |
|  | | 8 suppliers | 6 suppliers |

| | | | |
|---|--|-------------|-------------|
|  | High-risk (Inadequate risk control) | FY25 | FY24 |
|  | | 0 suppliers | 1 supplier |

| | | | |
|---|---|-------------|-------------|
|  | High-risk (high levels of inherent risk) | FY25 | FY24 |
|  | | 0 suppliers | 0 suppliers |

We aim to continuously improve on these KPI's.

We assess the effectiveness of our actions through regular review of supplier responses, staff training completion rates and feedback from stakeholders.

We also measure the effectiveness of our engagement with suppliers and will continue to encourage participation in our assessment program to better identify and mitigate modern slavery risks in our supply chain.

6. PROCESS OF CONSULTATION

The Centrepont Alliance Board of Directors is the principal governing body of the Centrepont Alliance Group.

In preparing this Modern Slavery Statement, Centrepont Alliance undertook a consultation process with the directors of each of its subsidiary entities. The Statement was provided to the governing bodies of all subsidiary entities for their review and approval. Feedback and input from these entities were considered and incorporated as appropriate to ensure an approach to identifying and addressing modern slavery risks across the Centrepont Alliance Group. Only after this consultation and approval process was completed, was the

Statement submitted to the Board of Directors of Centrepont Alliance, the head entity, for final approval. This approach ensures that all controlled entities operate under a common governance framework and are aligned in their commitment to minimising modern slavery risks in their operations and supply chains, in accordance with the requirements of the *Modern Slavery Act 2018* (Cth).

7. OTHER RELEVANT INFORMATION

This statement covers the Reporting Period 1 July 2024 to 30 June 2025 and has been approved by the Board of Directors of Centrepont Alliance Limited on 12 November 2025.



John Shuttleworth
Chief Executive Officer

Modern Slavery Reporting Criteria

| Mandatory Criteria (section 16 of the Act) | Relevant section in Statement |
|---|---|
| The reporting entity | Section 1 - Introduction |
| Structure, operations and supply chains | Section 2 – Centrepont Alliance's Business |
| Risks of modern slavery practices in our operations and supply chain and any controlled entities | Section 3 - Risks of Modern Slavery in Centrepont Alliance's Operations and Supply Chains |
| Actions taken to assess and address those risks, including modern slavery due diligence and remediation processes | Section 4 – Actions taken by Centrepont Alliance to Assess and Address Risks |
| How the effectiveness of actions taken were assessed | Section 5 – Centrepont Alliance's Assessment of the Effectiveness of Actions Being Taken |
| The process of consultation with owned and/or controlled entities | Section 6 - Process of Consultation |
| Any other relevant information | Section 7 - Other Relevant Information |